12-20-05

PTO/SB/21 (04-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the F	Paperwork Reduction Act of	1995, no persons	s are required to respond to a collection of	of information unle	ss it displays a valid OMB control number.	
TRANSMITTAL FORM OEC 19 2005 (to be used for all correspondence after initial filing) Total Number of Pages in This Submission			Application Number	10/649,403		
			Filing Date	08/26/20	08/26/2003	
			First Named Inventor	Masahiro	Masahiro Shimoya, et al.	
			Art Unit	3753	3753	
TRADEMATES			Examiner Name	Teresa V	Teresa Walberg	
Total Number of Pages in This Submission			Attorney Docket Number	4041K-00	4041K-000149	
ENCLOSURES (check all that apply)						
Fee Transmittal Form		☐ Drawing	☐ Drawing(s)		After Allowance Communication to Technology Center (TC)	
Fee Attached		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences		
Amendment / Reply		Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
After Final		Petition to Convert to a Provisional Application		Propri	Proprietary Information	
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address		Status Letter		
Extension of Time Request		Terminal Disclaimer		Other Enclosure(s) (please identify below):		
Express Abandonment Request		Reques	Request for Refund		Return Receipt Postcard	
		CD, Number of CD(s)				
☐ Information Disclosure Statement						
Certified Copy of Priority Document(s)		Remarks The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.				
Response to Missing Parts/ Incomplete Application						
Response to Missing Parts under 37 CFR 1.52 or 1.53						
	SIGNA	TURE OF A	APPLICANT, ATTORNEY, (OR AGENT		
Firm <i>or</i> Individual name	Harness, Dickey & Pierce, P.L.C		Attorney Name C. Michael J. Schmidt		eg. No. 4,007	
Signature			The state of the s	<u> </u>		
Date December 19, 2005						
CERTIFICATE OF TRANSMISSION/MAILING						
I hereby certify that t Service with sufficie Alexandria, VA 22313	nt postage as first	class mail in	imile transmitted to the USPTO an envelope addressed to:	O or deposited Commissione	d with the United States Postal r for Patents, P.O. Box 1450,	
Typed or printed name Michael J. Schmidt				Express Mail Label No.	EV 717 344 361 US (12/19/2005)	
Signature				Date	December 19, 2005	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplication No.:

10/649,403

Filing Date:

08/26/2003

Applicant:

Masahiro Shimoya, et al.

Group Art Unit:

3753

Examiner:

Teresa Walberg

Title:

HEAT EXCHANGER

Confirmation No.:

6400

Attorney Docket:

4041K-000149

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

AMENDMENT

Sir:

In response to the Office Action mailed <u>September 28, 2005, Paper No./Mail</u>

<u>Date 092605</u>, please amend and reconsider the above referenced patent application as follows.

Amendments to the Claims begin on page 2 of this paper.

Remarks begin on page 6 of this paper.